

## Problem 1

(a)

Calculate  $|20|_p$ ,  $|-20/3|_p$ , and  $|e^p|_p$  for all primes  $p$ .

Note that if  $(a, p) = 1$  then  $|a|_p = 1$

$$|20|_p = |2^2 \cdot 5|_p = |2|_p^2 \cdot |5|_p = \begin{cases} 1/4 & p = 2, \\ 1 & p = 3, \\ 1/5 & p = 5, \\ 1 & p > 5, \end{cases}$$

and

$$|-20/3|_p = |-1|_p \cdot |2|_p^2 \cdot |5|_p \cdot |3|_p^{-1} = |20/3|_p = \begin{cases} 1/4 & p = 2, \\ 3 & p = 3, \\ 1/5 & p = 5, \\ 1 & p > 5. \end{cases}$$

$$|e^p|_p = 1$$

*Proof.* Note that  $e^p = \sum_{i=0}^{\infty} p^n/n!$ . I will prove that the  $n$ th partial sum has  $p$ -adic norm 1. Since the partial sums converge to  $e^p$  this will imply that  $|e^p|_p = 1$ .

Here is the idea: I will rewrite  $S_n = r/s$  where  $r$  and  $s$  are integers, then I will show that  $p$  does not divide  $r$  or  $s$  and thus  $|S_n|_p = 1$ . Notice that  $p^n/n!$  upon canceling out  $p$ 's will continue to have a power of  $p$  in the numerator and no factors of  $p$  in the denominator. Call the reduced form of  $p^n/n!$ ,  $p^{k_n}/r_n$ . Then we have

$$\begin{aligned} S_m &= 1 + p + \frac{p^{k_2}}{r_2} + \cdots + \frac{p^{k_m}}{r_m}, \\ &= \frac{\sum_{i=0}^m \left[ p^{k_i} \prod_{0=j \neq i}^m r_j \right]}{\prod_{i=0}^m r_i}. \end{aligned}$$

Each  $r_i$  is not divisible by  $p$ , thus the denominator is not. If you notice, every term in the summation of the numerator has a power of  $p$  (since  $k_i \geq 1$  for  $i > 1$ ) except for the first term which is a product of all the  $r_i$ . Therefore  $p$  does not divide the numerator.  $\square$

(b)

Compute the first 4 digits in the base 3 expansion of  $e^6 \in \mathbb{Q}_3$ .

$$\begin{aligned} e^6 &= \sum_{i=0}^{\infty} \frac{6^i}{i!}, \\ &= 1 + 2 \cdot 3 + 2 \cdot 3^2 + 2^2 \cdot 3^2 + 2 \cdot 3^3 + \frac{2^2}{5} \cdot 3^4 + \cdots \end{aligned}$$

Since the factors of 3 in  $n!$  do not grow as fast as the factors of 3 in  $3^n$  there will not be another term with  $3^3, 3^2$ , or 3. So

$$\begin{aligned} &= 1 + 2 \cdot 3 + 2 \cdot 3^2 + 2^2 \cdot 3^2 + 2 \cdot 3^3 + \frac{2^2}{5} \cdot 3^4 + \dots, \\ &= 1 + 2 \cdot 3 + 6 \cdot 3^2 + 2 \cdot 3^3 + \dots, \\ &= 1 + 2 \cdot 3 + 0 \cdot 3^2 + (2+2) \cdot 3^3 + \dots, \\ &= 1 + 2 \cdot 3 + 0 \cdot 3^2 + 1 \cdot 3^3 + \dots \end{aligned}$$

Thus the first four coefficients are 1, 2, 0, 1.

## Problem 2

(a)

Let  $f(x) = x^4 2x^3 + 5x^2 + 3x + 6$ . Compute  $f(1)$  and  $f'(1)$  and explain why there is a root of  $f$  in  $\mathbb{Q}_{17}$ .

*Proof.*  $f(1) = 17$  so  $|f(1)|_{17} = 1/17$ .  $f'(1) = 23$  so  $|f'(1)|_{17} = 1$  since  $(23, 17) = 1$ . Checking the hypothesis of Hensel's lemma with  $n = 1$  we see they are satisfied:

$$|f(1)|_{17} = 1/17 \leq (1/17)^1 \quad \text{and} \quad |f'(1)|_{17} = 1 > (1/17)^{1/2}.$$

Hensel's lemma ensures us a root of  $f$  in  $\mathbb{Z}_{17} \subseteq \mathbb{Q}_{17}$ . □

(b)

Let  $b$  be an integer. If  $f(x) \in \mathbb{Z}[x]$  has  $p$  divide  $f(b)$  but  $p$  does not divide  $f'(b)$ , explain why  $f(x)$  has a root  $\alpha$  in the  $p$ -adic integers  $\mathbb{Z}_p$ , such that  $\alpha \equiv b \pmod{p}$ .

*Proof.* Since  $p$  divides  $f(b)$ ,  $\nu_p f(b) \geq 1$ . Since  $p$  does not divide  $f'(b)$ , we have  $\nu_p f'(b) = 0$ . Therefore

$$|f(b)|_p \leq \frac{1}{p} \quad \text{and} \quad |f'(b)|_p = 1 > \left(\frac{1}{p}\right)^{1/2}.$$

Hensel's lemma applies to give us a  $\xi \in \mathbb{Z}_p$  such that  $f(\xi) = 0$  and  $d_p(\xi, b) < (1/p)^{1-0} = 1/p$ . Since  $d_p(\xi, b) = (1/p)^{\nu(\xi-b)}$ , we have  $\nu_p(\xi - b) > 1$ . Therefore  $p|\xi - b$  and hence  $\xi \equiv b \pmod{p}$ . □

### Problem 3

Find  $|\mathbb{Q}_p[\alpha] : \mathbb{Q}_p|$  for  $p = 2, 3, 5, 7, 11$  and for  $\alpha = \sqrt{2}, \sqrt[3]{5}$  and  $i$  where  $i$  is a root of  $x^2 + 1$ .

Here is a summary of the results

	2	3	5	7	11
$\sqrt{2}$	2	2	2	1	2
$\sqrt[3]{5}$	1	3	3	3	1
$i$	2	2	1	2	2

#### Case: $\alpha = \sqrt{2}$

Since  $x^2 - 2$  is satisfied by  $\alpha$ , if  $\alpha \in \mathbb{Q}_p$  then  $|\mathbb{Q}_p[\alpha] : \mathbb{Q}_p| = 1$  otherwise  $|\mathbb{Q}_p[\alpha] : \mathbb{Q}_p| = 2$ . Suppose  $\alpha \in \mathbb{Q}_p$ , then  $\alpha = p^k u$  where  $k \in \mathbb{Z}$  and  $u$  is a unit of  $\mathbb{Z}_p$ . We have that  $\alpha^2 = 2$  so  $p^{2k} u^2 = 2$ .

When  $p = 2$ ,  $\nu_p p^{2k} u^2 = 2k = \nu_p 2 = 1$ . There is no integer  $k$  that satisfies that equation. Therefore  $\alpha \notin \mathbb{Q}_2$ .

When  $p \neq 2$ ,  $\nu_p p^{2k} u^2 = 2k = \nu_p 2 = 0$ . Therefore  $k = 0$  and hence we may assume  $\alpha$  is a unit in  $\mathbb{Z}_p$ . It's an easy check that  $x^2 - 2$  has no root in  $\mathbb{Z}/3\mathbb{Z}, \mathbb{Z}/5\mathbb{Z}$ , or  $\mathbb{Z}/11\mathbb{Z}$  - that contradicts  $\alpha \in \mathbb{Z}_p$ . So  $\alpha \notin \mathbb{Q}_3, \mathbb{Q}_5, \mathbb{Q}_{11}$ .

Using Hensel's lemma with  $x_0 = 4$  we can conclude that  $x^2 - 2$  actually has a root in  $\mathbb{Q}_7$ :  $x_0^2 - 2 = 14 \equiv 0 \pmod{7}$  and  $2x_0 = 8 \equiv 1 \pmod{7}$  so there is a root and thus  $\mathbb{Q}_7[\alpha] = \mathbb{Q}_7$ .

#### Case: $\alpha = \sqrt[3]{5}$

Since  $f(x) = x^3 - 5$  is satisfied by  $\alpha$ , if  $\alpha \in \mathbb{Q}_p$  then  $|\mathbb{Q}_p[\alpha] : \mathbb{Q}_p| = 1$  otherwise it must be that  $|\mathbb{Q}_p[\alpha] : \mathbb{Q}_p| = 3$ . Suppose  $\alpha \in \mathbb{Q}_p$ , then  $\alpha = p^k u$  where  $k \in \mathbb{Z}$  and  $u$  is a unit of  $\mathbb{Z}_p$ . We have that  $\alpha^3 = 5$  so  $p^{3k} u^3 = 5$ .

When  $p = 5$ ,  $3k = \nu_5 \alpha^3 = \nu_5 5 = 1$ . Since there is no integer  $k$  that satisfies that equation we have a contradiction. Therefore  $\alpha \notin \mathbb{Q}_5$ .

When  $p \neq 5$ . We may assume  $\alpha$  is a unit in  $\mathbb{Z}_p$  because  $k = 0$ . One may check that there is no root of  $f$  modulo  $3^2, 7$ , or  $11$ . Therefore  $\alpha \notin \mathbb{Q}_3, \mathbb{Q}_7, \mathbb{Q}_{11}$ .

Like before we use Hensel's lemma to conclude there is a root of  $f$  in  $\mathbb{Q}_2$ . Use  $x_0 = 1$ :  $f(x_0) \equiv 0 \pmod{2}$  and  $f'(x_0) = 3 \equiv 1 \pmod{2}$ . Therefore there exists a root of  $f$  in  $\mathbb{Q}_2$ .

#### Case: $\alpha = i$

Let  $f(x) = x^2 + 1$ . Suppose  $\alpha \in \mathbb{Q}_p$  and write  $\alpha = p^k u$  as usual. Note  $2k = \nu_p p^{2k} u^2 = \nu_p - 1 = 0$  so  $k = 0$  and hence  $\alpha \in \mathbb{Z}_p$  and a unit.

Some quick computation shows  $x^2 + 1$  has no roots modulo  $2^2, 3, 7$ , or  $11$ .

However  $i \in \mathbb{Q}_5$ . This is because  $5 \equiv 1 \pmod{4}$  (a sufficient condition as we showed in class).

## Problem 4

Let  $i$  be a root of  $x^2 + 1$ . Find the base 13 expansions of  $i$  in  $\mathbb{Q}_{13}$ . up to the first 4 digits by using Newton's method.

We need to find a number  $x$  such that  $d_{13}(x, i) < (1/13)^4$  to guarantee that  $x$  will have the same expansion in the first 4 digits.

### Step 1

Let  $x_0 = 5$ . Note that  $x_0$  satisfies the conditions of Hensel's lemma

$$|f(5)|_{13} = |26|_{13} = 1/13 \quad \text{and} \quad |f'(5)|_{13} = |10|_{13} = 1 > (1/13)^{1/2}$$

therefore  $d_{13}(i, x_0) < (1/13)$ .

### Step 2

Let  $x_1 = x_0 - f(x_0)/f'(x_0) = 12/5$ . Note that  $x_1$  satisfies the conditions of Hensel's lemma

$$|f(12/5)|_{13} = \left| \frac{13^2}{5^2} \right|_{13} = (1/13)^2 \quad \text{and} \quad |f'(12/5)|_{13} = \left| \frac{2^3 \cdot 3}{5} \right|_{13} = 1$$

therefore  $d_{13}(i, x_1) < (1/13)^2$ .

### Step 3

Let  $x_2 = x_1 - f(x_1)/f'(x_1) = 119/120$ . Note that  $x_2$  satisfies the conditions of Hensel's lemma

$$|f(x_2)|_{13} = \left| \frac{13^4}{2^6 \cdot 3^2 \cdot 5^2} \right|_{13} = (1/13)^4 \quad \text{and} \quad |f'(x_2)|_{13} = \left| \frac{7 \cdot 17}{2^2 \cdot 3 \cdot 5} \right|_{13} = 1$$

therefore  $d_{13}(i, x_2) < (1/13)^4$ .

### Expansion

I will write down the expansion of  $119/120$ . It should be easy to check that  $119/120 \cdot 120 = 119 = 2 + 9 \cdot 13$ .

$$119/120 = 5 + 5 \cdot 13 + 1 \cdot 13^2 + 0 \cdot 13^3 + 4 \cdot 13^4 + \dots$$

So the first four digits are  $(5, 5, 1, 0)$

## Problem 5

(a)

Let  $\mathbb{Q}_p \subseteq K$  be a finite unramified extension of degree  $n$ . Explain why every element in  $K$  can be written uniquely in the form for all  $i$  where  $D = \{x \in K : x^{p^n} = x\}$ .

*Proof.* Since  $K$  is an unramified extension we have  $|K^\times| = \{|p|^m\}$ . Furthermore since  $n = ef$ , the residue degree is  $n$ . Thus  $|k : \mathbb{F}_p| = n$  where  $k$  is the residue field of  $K$ . A degree  $n$  extension of a finite field is simply the splitting field of the polynomial  $x^{p^n} - x$ , so  $k = D$ .

By the representation theorem, every element in  $K$  can be written uniquely as  $\sum_{i \geq m}^\infty a_i p^i$  where  $a_i \in D$ .  $\square$

(b)

Let  $\mathbb{Q}_p \subseteq K$  be an extension of degree  $n$  with ramification index  $e$  and residue degree  $f$ . Write  $|K^\times| = \{|\pi|^m : m \in \mathbb{Z}\}$  with  $0 < |\pi| < 1$ . Show every element in  $K$  can be written uniquely in the form  $\sum_{i \geq m}^\infty \sum_{j=0}^{e-1} a_{ij} p^i \pi^j$  where  $a_{ij} \in D$  for all  $i, j$ .

*Proof.* Let  $R = B_{\leq}^K$ . We can write any element in  $K$  as  $\sum_{i \geq m} b_i p^i$  where  $b_i$  come from the set of representatives of the cosets  $R/pR$ . We have that  $pR = \pi^e R$  (by definition) so  $R/pR = R/\pi^e R$ . By the canonical representation of elements in  $K$ , each  $b_i = \sum_{j \geq m} a_{ij} \pi^j$  where  $a_{ij}$  are taken as representatives from the residue field  $k$ . However each  $b_i$  is only unique modulo  $\pi^e R$  so we may write  $b_i = \sum_{j=0}^{e-1} a_{ij} \pi^j$ . As in (a) we can say  $a_{ij} \in D$  (which is now a degree  $f$  extension). Substituting into the original representation we get that every element in  $K$  can be written as  $\sum_{i \geq m}^\infty (\sum_{j=0}^{e-1} a_{ij} p^i) \pi^j$ .  $\square$

## Problem 6

(a)

Show that any element  $\alpha \in \overline{\mathbb{Q}_p}$  is a root of a polynomial in  $\mathbb{Z}_p[x]$  such that at least one of the coefficients is a unit of  $\mathbb{Z}_p$ .

*Proof.*  $\alpha$  is algebraic over  $\mathbb{Q}_p$  so there exists  $f \in \mathbb{Q}_p[x]$  with  $f(\alpha) = 0$ . Suppose  $f(x) = a_n x^n + \dots + a_0$ . Let  $a_i$  be the element of the set  $\{a_0, \dots, a_n\}$  of maximal  $p$ -adic norm. Then let

$$g(x) = \frac{f(x)}{a_i} = \frac{a_n}{a_i} x^n + \dots + \frac{a_i}{a_i} x^i + \dots + \frac{a_0}{a_i}$$

Clearly the  $i$ th coefficient is a unit, namely 1. Every other coefficient has  $|a_j/a_i| \leq 1$  since  $|a_j| \leq |a_i|$ . So all the coefficients are in  $\mathbb{Z}_p$ .  $\square$

(b)

$1/p$  is not integral over  $\mathbb{Z}_p$ .

*Proof.* Suppose for contradiction that  $1/p$  was integral over  $\mathbb{Z}_p$ . Let  $f(x) \in \mathbb{Z}_p[x]$  be the monic polynomial that  $1/p$  satisfies. We could factor  $f(x) = (x-1/p)g(x)$  where  $g(x) \in \mathbb{Q}_p[x]$ . Clearly  $g$  must be monic. Also we may assume that  $g(0) \neq 0$  for it were we could just factor out  $x^j$  and the remaining polynomial would work instead. So we can assume the constant term of  $g$  is nonzero. Let  $g(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0$ . Then

$$(x - 1/p)g(x) = x^{n+1} + (c_{n-1} - 1/p)x^n + \dots + (c_0 - c_1/p)x + (-c_0/p) \in \mathbb{Z}_p[x]$$

Therefore  $-c_0/p \in \mathbb{Z}_p$  so  $p|c_0$  which implies  $c_0 \in \mathbb{Z}_p$ . Since  $c_0 \in \mathbb{Z}_p$ ,  $c_0 - c_1/p \in \mathbb{Z}_p$  implies that  $c_1 \in \mathbb{Z}_p$ . Continuing this way we can conclude that every coefficient is in  $\mathbb{Z}_p$ .  $\square$

(c)

Let  $X$  be the integral closure of  $\mathbb{Z}_p$  in  $\overline{\mathbb{Q}_p}$ . Show that  $X$  is contained in the closed unit ball of  $\overline{\mathbb{Q}_p}$ ,  $B$ .

*Proof.* Let  $\alpha \in X$ . Suppose  $\alpha$  satisfies  $f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0 \in \mathbb{Z}_p[x]$ . For a contradiction suppose that  $|\alpha| > 1$ . Then we have

$$\begin{aligned} |\alpha^n| = |\alpha|^n &= |-1| \cdot |a_{n-1}\alpha^{n-1} + \dots + a_0|, \\ &\leq \max\{|a_{n-1}| \cdot |\alpha|^{n-1}, \dots, |a_0|\}, \\ &\leq \max\{|\alpha|^{n-1}, \dots, 1\} \quad (\text{since } |a_i| \leq 1), \\ &= |\alpha|^{n-1} \end{aligned}$$

So  $|\alpha|^n \leq |\alpha|^{n-1}$ , a contradiction.  $\square$

(d)

Show that  $X = B$

*Proof.* Let  $\alpha_1 \in B$ . Let  $f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0 \in \mathbb{Q}_p[x]$  be its minimal polynomial. Let  $\alpha_1, \dots, \alpha_n \in \overline{\mathbb{Q}_p}$  be all the roots of  $f$ .

Since Galois automorphisms are isotropies (with respect to the p-adic norm) and they act transitively on the roots of  $f$ , we have  $|\alpha_1| = \dots = |\alpha_n| \leq 1$ .

Every coefficient is a sum and product of a number of roots. Hence

$$|c_i| = \left| \sum_{i \in I} \prod_{j \in J_i} \alpha_j \right| \leq \max_{i \in I} \left\{ \left| \prod_{j \in J_i} \alpha_j \right| \right\} = \max_{i \in I} \left\{ \prod_{j \in J_i} |\alpha_j| \right\} = 1$$

For some index sets  $I$  and  $J_i$ . Therefore  $\alpha_1$  is integral over  $\mathbb{Z}_p$  and thus is inside of  $X$ .  $\square$

## Problem 7

(a)

Show that  $\Phi_5(x) = x^4 + x^3 + x^2 + x + 1$  is an irreducible polynomial over  $\mathbb{Q}_5$ .

*Proof.* Suppose  $z \in \mathbb{Q}_5$  is a root of  $\Phi_5$ . Then  $z = 5^k u$  for  $k \in \mathbb{Z}$  and  $u \in \mathbb{Z}_p^\times$ . Note that  $z^5 = 1$ , so  $5^{5k} u^5 = 1$  which taking the valuation of both sides implies  $5k = 0$ . Therefore  $z \in \mathbb{Z}_p^\times$ . Now simply check that  $\Phi_5$  has no roots modulo  $5^2$ .  $\square$

Find a  $p$  such that  $\Phi_5$  factors completely into linear factors over  $\mathbb{Q}_p$ .  $p = 11$ .

*Proof.* Note  $\Phi_5(3) \equiv 0 \pmod{11}$  and  $\Phi_5'(3) = 142 \equiv 10 \pmod{11}$ . By Hensel's lemma there is a root of  $\Phi_5 \in \mathbb{Q}_{11}$ . Since the roots of  $\Phi_5$  are the primitive roots of unity, the fact that  $\mathbb{Q}_{11}$  contains one means it must contain them all (since they all generate the group of roots of unity).  $\square$

(b)

Find the minimum distance between distinct roots of  $\Phi_5$  in  $\overline{\mathbb{Q}_5}$ . The minimal distance is 1.

*Proof.* Let  $\xi_5$  be a primitive 5th root of unity. The roots of  $\Phi_5$  are  $\xi_5, \xi_5^2, \xi_5^3, \xi_5^4$ . As we mentioned above  $|\xi_5^i| = |\xi_5| = 1$ . Note for  $i < j$ ,  $|\xi_5^i - \xi_5^j| = |\xi_5|^i \cdot |1 - \xi_5^{j-i}| = |1 - \xi_5^{j-i}|$ . Hence

$$r(\xi_5) = \min_{1 \leq i \leq 3} |1 - \xi_5^i|.$$

Since  $d(\xi_5^i, 0) = 1$  and  $d(1, 0) = 1$  the ultra-metric property gives us that  $d(\xi_5^i, 1) = 1$ . Thus  $r(\xi_5) = 1$ .  $\square$

(c)

Find an  $\epsilon > 0$  such that if  $f$  is a monic quartic in  $\mathbb{Z}_5[x]$  with  $d(f, \Phi_5) < \epsilon$  then at least one root  $\alpha$  of  $f$  has  $\mathbb{Q}_5[\alpha] = \mathbb{Q}_5[\xi_5]$ .

*Proof.* Choose  $\epsilon = 1$ . Let  $g \in \mathbb{Z}_5[x]$  a monic degree 4 polynomial with  $d(f, \Phi_5) < \epsilon$ . Write  $g(x) \prod (x - b_i)$  where  $b_i \in \overline{\mathbb{Q}_p}$ . Then we have

$$g(\xi_5) = \prod_{i=1}^4 (\xi_5 - b_i) = g(\xi_5) - \Phi_5(\xi_5).$$

Let  $M = \max_i |\xi_5|^i$ . From part (b) we saw that  $|\xi_5|^i = 1$  so  $M = 1$ . Then we have

$$\prod_{i=1}^4 |\xi_5 - b_i| = |g(\xi_5) - \Phi_5(\xi_5)| \leq M \cdot \|g - \Phi_5\| = \|g - \Phi_5\|.$$

Let  $|\xi_5 - b_j|$  be the smallest of all the  $|\xi_5 - b_i|$ . Then

$$|\xi_5 - b_j|^4 \leq \prod_i |\xi_5 - b_i| \leq \|g - \Phi_5\| < 1.$$

By Krasner's lemma  $\mathbb{Q}_p(b_j) \supseteq \mathbb{Q}_p(\xi_5)$ . But since  $|\mathbb{Q}_p(b_j) : \mathbb{Q}_p| = 4 = |\mathbb{Q}_p(\xi_5) : \mathbb{Q}_p|$  we have  $\mathbb{Q}_p(b_j) = \mathbb{Q}_p(\xi_5)$ .  $\square$

## Problem 8

Let  $f_i \in \mathbb{Z}_p[x, y]$  for  $i = 1, 2$  and  $f(x, y) = (f_1(x, y), f_2(x, y))$ . Note  $f$  will give us a function  $f : \mathbb{Q}_p^2 \rightarrow \mathbb{Q}_p^2$ .

(a)

Show that we can write

$$f(x + h_1, y + h_2) = f(x, y) + Df(x, y) \begin{pmatrix} h_1 \\ h_2 \end{pmatrix} + \begin{pmatrix} h_1^2 E + h_2^2 F + h_1 h_2 G \\ h_1^2 H + h_2^2 I + h_1 h_2 J \end{pmatrix}$$

where

$$Df(x, y) = \begin{pmatrix} \frac{\partial f_1}{\partial x} & \frac{\partial f_1}{\partial y} \\ \frac{\partial f_2}{\partial x} & \frac{\partial f_2}{\partial y} \end{pmatrix}$$

and  $E, F, G, H, I, J \in \mathbb{Z}_p[x, y, h_1, h_2]$ .

*Proof.* I will show equality in the first component, the equality in the other component will be a symmetric argument. Let

$$f_1(x, y) = \sum_{i=0}^n \sum_{j=0}^m a_{ij} x^i y^j \quad \text{and} \quad f_2(x, y) = \sum_{i=0}^n \sum_{j=0}^m b_{ij} x^i y^j.$$

Then we have  $f_1(x + h_1, y + h_2)$  equal to

$$\begin{aligned} &= \sum_{i=0}^n \sum_{j=0}^m a_{ij} x^i y^j, \\ &= \sum_{i=0}^n \sum_{j=0}^m a_{ij} [x^i + ih_1 x^{i-1} + h_1^2(\dots)][y^j + jh_2 y^{j-1} + h_2^2(\dots)], \\ &= \sum_{i=0}^n \sum_{j=0}^m a_{ij} [x^i y^j + jh_2 x^i y^{j-1} + ih_1 x^{i-1} y^j + h_1 h_2(\dots) + h_1^2(\dots) + h_2^2(\dots)], \\ &= f_1(x, y) + h_1 \sum_{i \neq 0, j} i a_{ij} x^{i-1} y^j + h_2 \sum_{i, j \neq 0} j a_{ij} x^i y^{j-1} + h_1^2 E + h_2^2 F + h_1 h_2 G, \\ &= f_1(x, y) + h_1 \frac{\partial f_1}{\partial x}(x, y) + h_2 \frac{\partial f_1}{\partial y}(x, y) + h_1^2 E + h_2^2 F + h_1 h_2 G. \end{aligned}$$

Which if you check is the first component of left side of the equation we're trying to prove. (whew - that was a lot of typing.)  $\square$



**(b)**

If we use the *L<sup>infinity</sup>*-norm on  $\mathbb{Q}_p^2$  show that for any  $2 \times 2$  matrix  $A$  and vector  $x$  we have  $\|Ax\| \leq \|A\| \cdot \|x\|$  where  $\|A\| = \max_{i,j} |a_{ij}|_p$ .

*Proof.* If

$$A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \quad \text{and} \quad x = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$$

then

$$\begin{aligned} \|A\| \cdot \|x\| &= \max\{|a|, |b|, |c|, |d|\} \cdot \max\{|x_1|, |x_2|\}, \\ &= \max\{|ax_1|, |ax_2|, |bx_1|, |bx_2|, |cx_1|, |cx_2|, |dx_1|, |dx_2|\}, \\ &\geq \max\{|ax_1|, |bx_2|, |cx_1|, |dx_2|\}, \\ &= \max\{\max\{|ax_1|, |bx_2|\}, \max\{|cx_1|, |dx_2|\}\}, \\ &\geq \max\{|ax_1 + bx_2|, |cx_1 + dx_2|\}, \\ &= \|Ax\| \end{aligned}$$

where  $|\cdot|$  is the  $p$ -adic norm. □

**(c)**

For a matrix  $A \in GL_2(\mathbb{Q}_p)$  what is the relationship between  $\|A\|$  and  $\|A^{-1}\|$ ?  
 $\|A\| = |\det A| \cdot \|A^{-1}\|$ .

*Proof.* Let  $A$  be as in part (b). Then

$$A^{-1} = \frac{1}{\det A} \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$$

Therefore

$$\begin{aligned} \|A^{-1}\| &= \max\left\{\left|\frac{a}{\det A}\right|, \left|\frac{-b}{\det A}\right|, \left|\frac{-c}{\det A}\right|, \left|\frac{d}{\det A}\right|\right\}, \\ &= \frac{1}{|\det A|} \cdot \max\{|a|, |b|, |c|, |d|\}, \\ &= \frac{\|A\|}{|\det A|}. \end{aligned}$$

So  $\|A\| = |\det A| \cdot \|A^{-1}\|$ . □

**(d)**

Let  $N(v) = v - (Df(v))^{-1}f(v)$  be the Newton map. What conditions do you need to ensure that  $N(v)$  is a well-defined in  $\mathbb{Q}_p^2$ ?

We need  $\det Df(v) \neq 0$  so that  $(Df(v))^{-1}$  exists. Otherwise it's easy to see that the vector you get out of  $N(v)$  is actually in  $\mathbb{Q}_p^2$ .

What conditions do you need to ensure that  $N(v)$  is well-defined in  $\mathbb{Z}_p^2$ ?

We require that  $\|Df(v)\| \leq |\det Df(v)|$  (and of course that  $\det Df(v) \neq 0$ ). If this is the case then

$$\begin{aligned} 1 &\geq \frac{\|Df(v)\|}{|\det Df(v)|} \\ &\geq \frac{\|Df(v)\|}{|\det Df(v)|} \cdot \|f(v)\| \quad (\text{since } \|f(v)\| \leq 1) \\ &= \|(Df(v))^{-1}\| \cdot \|f(v)\| \quad (\text{using part c}) \\ &\geq \|(Df(v))^{-1}f(v)\| \quad (\text{using part b}). \end{aligned}$$

Therefore  $(Df(v))^{-1}f(v) \in \mathbb{Z}_p$ , and hence  $N(v) \in \mathbb{Z}_p$ .

What conditions do you need to ensure that  $\|f(N(v))\| < \|f(v)\|$ ?

We require that  $\|Df(v)\| < |\det Df(v)|$ . Let  $h = N(v) - v$ . Denote the first and second components of  $h$  as  $h_1$  and  $h_2$ , respectively. Note that

$$\begin{aligned} |h_1| &= \left| \frac{d}{\det Df(v)} f_1(v) - \frac{b}{\det Df(v)} f_2(v) \right| \\ &\leq \max \left\{ \left| \frac{d}{\det Df(v)} f_1(v) \right|, \left| \frac{b}{\det Df(v)} f_2(v) \right| \right\} \\ &\leq \frac{\|Df(v)\|}{|\det Df(v)|} \max\{|f_1(v)|, |f_2(v)|\} \\ &< \max\{|f_1(v)|, |f_2(v)|\} \\ &= \|f(v)\| \end{aligned}$$

And similarly  $|h_2| < \|f(v)\|$ . Now we have

$$\begin{aligned} \|f(N(v))\| &= \|f(v+h)\|, \\ &= \left\| f(v) - Df(v)(Df(v))^{-1}f(v) + \begin{pmatrix} h_1^2 E + h_2^2 F + h_1 h_2 G \\ h_1^2 H + h_2^2 I + h_1 h_2 J \end{pmatrix} \right\| \\ &= \left\| \begin{pmatrix} h_1^2 E + h_2^2 F + h_1 h_2 G \\ h_1^2 H + h_2^2 I + h_1 h_2 J \end{pmatrix} \right\| \\ &= \|h_1^2 E + h_2^2 F + h_1 h_2 G\| \quad (\text{WLOG}) \\ &\leq \max\{|h_1|^2 |E|, |h_2|^2 |F|, |h_1| |h_2| |G|\} \\ &\leq \max\{|h_1|^2, |h_2|^2, |h_1| |h_2|\} \quad (\text{since } E, F, G \text{ have norm } \leq 1) \\ &\leq \|f(v)\|^2 \\ &< \|f(v)\| \quad (\text{since } \|f(v)\| \leq 1) \end{aligned}$$

(e)

Prove a versions of Hensel's lemma for polynomial functions  $f : \mathbb{Q}_p^2 \rightarrow \mathbb{Q}_p^2$

**Lemma 1.** *Let  $v \in \mathbb{Z}_p^2$  be such that  $\|f(v)\| \equiv 0 \pmod{p^n}$ . If  $\|Df(v)\| < |\det Df(v)| \neq 0$  then  $w = N(v)$  has the following properties:  $w \in \mathbb{Z}_p^2$ ,  $\|f(w)\| \equiv 0 \pmod{p^{n+1}}$ ,  $w \equiv v \pmod{p^{n+1}}$ , and  $\|Df(v)\| = \|Df(w)\|$ .*

*Proof.* We showed above that  $w \in \mathbb{Z}_p^2$ . Note that  $w - v = -(Df(v))^{-1}f(v)$ , so

$$\begin{aligned} \|w - v\| &= \|-(Df(v))^{-1}f(v)\|, \\ &\leq \frac{\|Df(v)\|}{|\det Df(v)|} \|f(v)\|, \\ &\leq (1/p) \cdot (1/p)^n. \end{aligned}$$

So we see that  $w \equiv v \pmod{p^{n+1}}$ . Because  $\|f(w)\| < \|f(v)\| \leq (1/p)^n$  we have that  $\|f(w)\| \leq (1/p)^{n+1}$ .

(unsure of how to prove  $\|Df(v)\| = \|Df(w)\|$ ) □

**Theorem.** *With the conditions of the lemma, there exists a root of  $f$  in  $\mathbb{Z}_p^2$ .*

*Proof.* Same as proof of Hensel's lemma: Keep iterating the above lemma to get a sequence of points  $v_1, v_2, \dots$ . From the conditions we see that the sequence is Cauchy and hence has a  $p$ -adic limit which must satisfy the polynomial. □

(f)

*Find and prove a Hensel's lemma for a function  $f \in \mathbb{Z}_p[x, y]$  where  $f : \mathbb{Q}_p^2 \rightarrow \mathbb{Q}_p$ .*

**Theorem.** *If there exists  $v \in \mathbb{Z}_p^2$  with  $f(v) \equiv 0 \pmod{p^n}$  and*

$$\left| \frac{\partial f}{\partial x}(v) - \frac{\partial f}{\partial y}(v) \right| > 1$$

*and  $v_1 + v_2 \equiv 0 \pmod{p^n}$  then there exists a root in  $\mathbb{Z}_p^2$  of  $f$ .*

*Proof.* Define a function  $g : \mathbb{Q}_p^2 \rightarrow \mathbb{Q}_p^2$  by

$$g \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} f(x, y) \\ x + y \end{pmatrix}.$$

We want to apply the Hensel's lemma from part (e) to this function so we must check the conditions:

$$Dg(v) = \begin{pmatrix} \frac{\partial f}{\partial x}(v) & \frac{\partial f}{\partial y}(v) \\ 1 & 1 \end{pmatrix}$$

So

$$|\det Dg(v)| = \left| \frac{\partial f}{\partial x}(v) - \frac{\partial f}{\partial y}(v) \right| > \max\{1, |\partial f/\partial x(v)|, |\partial f/\partial y(v)|\} = \|Dg(v)\|.$$

Finally  $\|g(v)\| = \max\{|f(v)|, |v_1 + v_2|\} \leq (1/p)^n$ . Apply the Hensel's lemma from (e) to get a root of  $g$ , which will be a root of  $f$ ! □